

ETA 9002 DATA PREPARATION HANDBOOK ET HANDBOOK NO.406 MARCH 1993



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U. S. Department of Labor Employment and Training Administration Washington, D.C. 20210

CLASSIFICATION ES	
CORRESPONDENCE SY TEESS	148OL
October 8,	1996

DIRECTIVE

ET HANDBOOK NO. 406, CHANGE 2

TO

REGIONAL AND STATE OF

FROM

BARBARA ANN FARMER Administrator

) for Regional Management

SUBJECT

ETA 9002 Data Preparation Handbook

- 1. <u>Purpose</u>. To announce the extension of the Office Management and Budget (OMB) approval of information collection on ETA Form 9002.
- 2. <u>Background</u>. States are required to submit quarterly reports to the Employment and Training Administration to comply with the Wagner-Peyser Act as amended by the Job Training Partnership Act; 38 USC 4107(b) and (c) and 38 USC 4112(c).

The ET Handbook No. 406, ETA 9002 Data Preparation Handbook, is the official source for reporting requirements on the ETA Form 9002. It was developed to facilitate users of the quarterly report. Only those changes in terms and definitions resulting from new legislation and/or related regulations will take precedence over definitions in this Handbook. When any such changes occur, appropriate revisions will be issued to reflect these changes.

3. OMB Approval. Reporting requirements in this Handbook have been approved by OMB according to the Paperwork Reduction Act of 1995, under OMB approval No. 1205-0240 to expire August 31, 1999. Respondents' obligation to comply are mandatory according to the Wagner-Peyser Act as amended by the Job Training Partnership Act and 38 USC 4107(b) and (c) and 4112(c). (NOTE: Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number.)

RESCISSIONS

EXPIRATION DATE Continuing

- 4. <u>Action Required</u>. Administrators are requested to provide the above information to the appropriate staff along with copies of the attached Form ETA 9002 with revised expiration date.
- 5. <u>Inquiries</u>. Employment Service program questions may be directed to Pearl Wah on 202-219-5185. Reporting questions may be directed to Terry Wiram on 202-219-4336.
- 6. Attachment. Form ETA 9002

U. S. Department of Labor Employment and Training Administration Washington, D.C. 20210

CLASSIFICATION
ES
CORRESPONDENCE SYMBOL
TEESS
DATE
August 27, 1993

DIRECTIVE

ET HANDBOOK NO. 406, CHANGE 1

TO:

REGIONAL AND STATE OFFICES

FROM

Barbara ann Farmer

Administrator

for Regional Management

SUBJECT

ETA 9002 Data Preparation Handbook

- 1. <u>Purpose</u>. To transmit changes to include reporting requirements for SMOCTA in the Handbook and to announce the extension of Office of Management and Budget (OMB) approval of collection of information on ETA Form 9002.
- 2. <u>References</u>. Public Law 102-484, Subtitle G--Service Members Occupational Conversion and Training Act (SMOCTA), VPL 3-93, VPL 4-93, and VPL 8-93.
- 3. <u>Background</u>. The Labor Department is responsible for providing case management services to veterans who are participating in training under SMOCTA. State Employment Security Agencies will be the primary focal point for developing training opportunities, matching qualified applicants with certified employers and providing case management services. Quarterly reports are required to comply with SMOCTA. To accommodate SMOCTA reporting requirements, the definition of SMOCTA has been added to this Handbook.

As a result of inquiries regarding the definition and clarification of "Entered Employment," the definition has been revised to denote the intent of the definition.

4. Changes

- a. The definition of SMOCTA has been added to page III-5;
- b. A column has been added to page four of form 9002A;

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	EXPIRATION DATE
DISTRIBUTION	Continuing

- c. Two forms, 9002B-S and 9002C-S have been added for reporting information from SMOCTA certified job orders; and
- d. The definition of No. 33, Entered Employment, on page III-12, has been changed to read, "This is the unduplicated count of applicants who entered employment by job placement or obtained employment."
- 5. OMB Approval. Reporting requirements in this Handbook have been approved by the Office of Management and Budget (OMB) according to the Paperwork Reduction Act of 1980, under OMB Approval No. 1205-0240, expiration date 7/31/96. Burden disclosure appears on the initial page of the form.
- 6. <u>Action Required</u>. Administrators are requested to provide above information and attachments to appropriate staff.

7. Instructions for Handbook Maintenance

Remove and Destroy	Insert	
Pg. III-5/6	Pg. III-5/6	R-7/93
Pg. III-11/12	Pg. III-11/12	R-7/93
Pg. 1 of Form 9002 Expires 07/31/94	Pg. 1 Form 9002A Expires 7/33	
Pg.4 of Form 9002A	Pg.4 of Form 900	2A R-7/93
	Form 9002B-S	
	Form 9002C-S	

8. Attachments

Page III-5/6

Page III-11/12

Page 1 of Form 9002A

Page 4 of Form 9002A

Form 9002B-S

Form 9002C-S

U.S. Department of Labor Employment and Training Administration Washington, D.C. 20210

CLASSIFICATION

ES
CORRESPONDENCE SYMBOL

TEESS
DATE
March 31, 1993

DIRECTIVE :

ET HANDBOOK NO. 406

TO

REGIONAL AND STATE OFFICES

FROM

BARBARA ANN FARMER

Administrator

for Regional Management

SUBJECT

ETA 9002 Data Preparation Handbook

- 1. <u>Purpose</u>. To transmit subject Handbook for SESA use in preparing ES (ETA 9002) quarterly reports to the Employment and Training Administration.
- 2. <u>Background</u>. States are required to submit quarterly reports to the Employment and Training Administration to comply with the Wagner-Peyser Act as amended by the Job Training Partnership Act; 38 USC 4107 (b) and (c) and 38 USC 4112 (c).

This Handbook is the official source for reporting requirements on the revised ETA 9002 form as of July 1, 1992. It was developed to facilitate users of the quarterly report. Only those changes in terms and definitions resulting from new legislation and/or related regulations will take precedence over definitions in this Handbook. When any such changes occur, appropriate revisions will be issued to reflect these changes.

- 3. <u>Draft Handbook</u>. States which attended training related to the 1992 revisions of the ETA 9002 received a draft form of this Handbook.
- 4. Changes. Item D on the ETA 9002 has been changed in the draft Handbook from "UI Claimant" to "Eligible Claimant." As a result of feedback at the training sessions, slight changes have been made in definitions for reporting cells for the following:
 - C.7. Employed
 - C.8. Unemployed
 - 10 Economically Disadvantaged
 - 21 Referred to Federal Training
 - 27 Federal Training Placement

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- 5. OMB Approval. Reporting requirements in this Handbook have been approved by the Office of Management and Budget (OMB) according to the Paperwork Reduction Act of 1980, under OMB Approval No. 1205-0240, expiration date 7/31/94. Burden disclosure appears on the initial page of the form.
- 6. <u>Action Required</u>. Administrators are requested to provide copies of this Handbook to appropriate staff.
- 7. Attachment. ETA 9002 Data Preparation Handbook.

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PREFACE

This Handbook is the official source for reporting requirements on the ETA 9002 form. It contains ETA 9002 report terms and definitions, and clarifications on some definitions in response to questions from the States. It is being distributed to all States to facilitate preparation and use of the ETA 9002 report.

Sections in this Handbook are:

I - Introduction

II - Data Sources

III - Terms and Definitions

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Reproducible Copies of the Forms

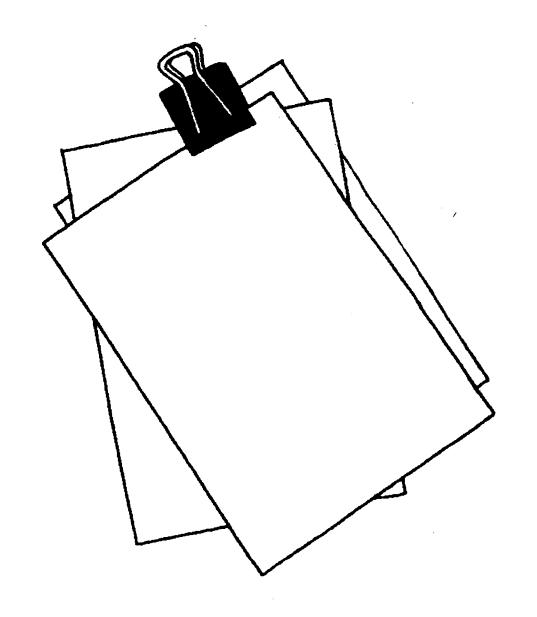
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I. INTRODUCTION

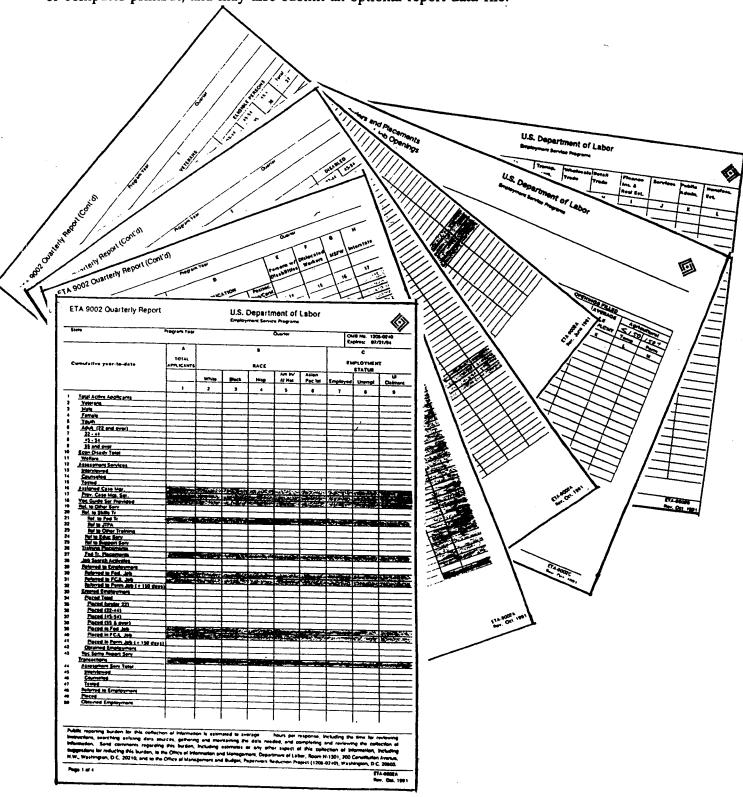
A, PURPOSE

Reporting requirements for the State Administered Public Employment Service Program are contained in this Handbook. Data to be reported on the ETA 9002 report are derived from each state's data files which are comprised of information entered by each state from their records for registration, referral cards, MSFW, Veterans, Food Stamp, etc. To ensure that data reported on the ETA 9002 report reflects accurate information, the individual forms and the definitions for each item on that form are included in this Handbook. Clarifications of definitions are included in italics.



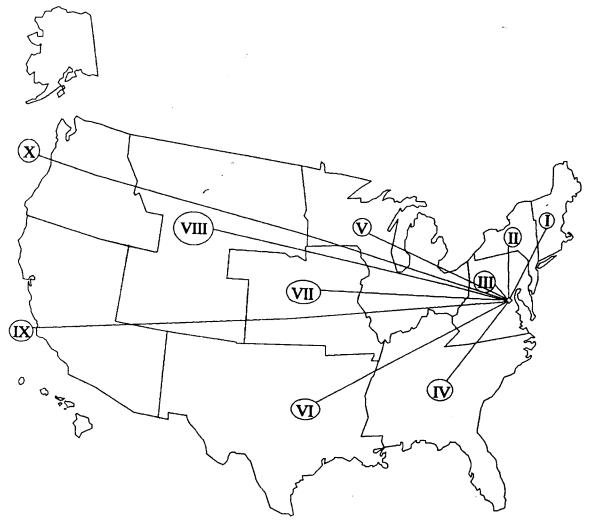
B. BACKGROUND

As of July 1, 1992, SESAs are required to submit the revised form ETA 9002 which includes new data elements to the ETA Regional Office (RO). States may submit the report as a formor computer printout, and may also submit an optional report data file.



C. REPORTING

These reports shall be submitted to ROs within 45 calendar days after the end of the quarterly report period. The ETA 9002 reports for Employment Service Programs will be submitted for the program year quarters (9/30; 12/31; 3/31; and 6/30) and will be cumulative to date from July for each program year.



D. OMB APPROVAL

Reporting requirements in this Handbook have been approved by OMB according to the Paperwork Reduction Act of 1980, under OMB Approval No. 1205-0240, expiration date 7/31/94. Burden disclosure appears on the initial page of the form.

II. DATA SOURCES

A. Applicant Registration

This is to capture the following applicant characteristics as well as initial registrations and renewals:

			ETA-9002A Column Row	
		Colum	II KOW	
	New/Renewal Applications	Α	1 60	
=	Race	B	1 - 50	
=	Employment Status	C C	1 - 50	
		C7	- 50	
=	Unemployed	C8	1 - 50 1 - 50	
	Eligible Claimant	D9		
=	In-School Status	E E		
	In School	E10	1 - 50	
-	Education Level	EIU	- 00	
	Less than High School Diploma	E11	. 50	
•	High School Diploma/GED		- 670	
	Postsecondary Degree/Certificate	E12	- 50	
	Persons with Disabilities	E13		
	Dislocated Workers	F14	- 50	
•	MSFW	G15	- 00	
=	Veteran		,29,34,49	
	Viet Nam-Era	J18 - 21		
	Disabled	J22 - 25		
	Special Disabled	J26 - 29		
•	Eligible Persons	J30 - 33		
	Age of veterans	J34 - 37		
	Sex	J 18 - 37		
-		A - J		
_	Age of all applicants	A - G	-	
_	Economically Disadvantaged Welfare	A - J	10	
_	wellare	A - J	11	

B. Job Order This is to record job order information:

		ETA-90	02A
		Column	Row
-	Federal Job	J -	30
-	FCJL Job	J	31
•	Permanent Job	A - J	32
		ETA-90	02B
		Column	Row
=	Job Openings Received by Occupational Category and SIC	A - L	1 - 15
•	Job Openings Filled by Occupational Category and SIC	A - L	16 - 32
	FCJL Openings Received	Α	31
•	Number of Federal Contractors	A	32
		ETA-90	002C
		Column	Row
=	Job Orders Received	Α	1 - 15
-	Openings Received	B - G	
=	Total Non-Agricultural and Agricultural	В	1 - 15
	Non-Agricultural Temporary	C	1 - 15
	Non-Agricultural Permanent	D	1 - 15
	Average Wage on Order	H - 15	
	Agricultural Temporary	F	1 - 15
•	Agricultural Permanent	G	1 - 15
	Openings Filled	H - M	
•	Total Non-Agricultural and Agricultural	H	1 - 15
	Non-Agricultural Temporary	Ι.	1 - 15
	Non-Agricultural Permanent	J	1 - 15
	Average Wage on Placement	K	1 - 15
•	Agricultural Temporary	L	1 - 15
•	Agricultural Permanent	M	1 - 15

C. Registrant/ Service Transactions

This is to record the following services provided to applicants:

	ETA-9002A	
	Column	Row
Renewals	- A	1 - 50
Assessment Services.		12 & 44
■ Interviewed		12 & 44
Counseled		13 & 43 14 & 46
Tested		15 & 47
 Assigned Case Manager 	J	15 & 47
 Provided Case Management Services 	J	17
 Vocational Guidance Service Provided 	J	18
Referred to Other Services	A - J	19
 Referred to Skills Training 	A - J	20
(or Referral/Placement Record)	71 - J	20
Referred to Federal Training	J	21
(or Referral/Placement Record)	•	21
Referred to JTPA	A - J	22
(or Referral/Placement Record)	21 - J	22
Referred to Other Training	A - J	23
(or Referral/Placement Record)	71 · J	23
 Referred to Educational Services 	A - J	24
(or Referral/Placement Record)	•	27
Referred to Support Services	А - Ј	25
 Training Placements 	A - J	26
(or Referral/Placement Record)		20
 Federal Training Placements 	J	27
(or Referral/Placement Record)	•	Li
Job Search Activities	A - J	28
 Entered Employment 	A - J	33
(also Referral/Placement Record)	11 - J	<i>JJ</i>
 Obtained Employment 	A - J 42	2.& 50
 Received Some Reportable Service 	A - J	43
	-	

D. Referral/ Placement Record This is to record the following job order related services provided to applicants:

		ETA-9002A Column Row			
-	Interstate Referral/Placement Activity	I 29, 34, 49			
	Referred to Skills Training	A - J 20			
	Referred to Federal Training	J 21			
-	Referred to JTPA	A - J 22			
=	Referred to Other Training	A - J 23			
-	Referred to Educational Services	A - J 24			
	Training Placements	A - J 26			
•	Federal Training Placements	J 27			
	Referred to Employment	A - J 29			
=	Referred to Federal Job	J 30			
-	Referred to FCJL Job	J 31			
-	Referred to Permanent Job	A - J 32			
=	Entered Employment	A - J 33			
-	Job Placements	A - J 34 - 41			
=	Placements by Age	A - J 35 - 38			
-	Placed in Federal Jobs	J 39			
-	Placed in FCJL Job	J 40			
-	Placed in Permanent Job	A - J 41			

III. TERMS AND DEFINITIONS

Entries for Form A1-A4

(Columns A through J are applicant characteristics)

A. Total Applicants

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All applicants active as of July 1 plus all applicants who received a New, New partial or Renewal during the current program year. Each applicant is counted only once. All applicants active at any time during the program year are counted. This definition is applied to each of the applicant categories listed.

Characteristics, i.e., education, employment and economically disadvantaged, should be updated for applicants from inactive file at the time of first renewal on or after July 1.

Active applicants should be carried over with their current characteristics, i.e., employment status, and education status at the end of the program year. Inactive applicants should have these characteristics 'blanked out' at the end of the program year. These characteristics should be captured/changed only once per program year.

B. Race

Race would be identified by the following groups:

- (B2) White (non-Hispanic)
- (B3) Black (non- Hispanic)
- (B4) Hispanic
- (B5) American Indian and Alaskan Native
- (B6) Asian and Pacific Islander

C. <u>Employment</u> <u>Status</u>



The status of applicants, including students, at the time they <u>initially</u> seek the assistance of a local office to find work or skill training.

Active applicants should be carried over with their current characteristic at the end of the program year. Inactive applicants should have this characteristic 'blanked out' at the end of the program year. This characteristic should be captured/changed only once per program year.

C.7. Employed



Applicants are

- (a) those who are currently working as paid employees, or who work in their own businesses, professions; or on their own farms; and
- (b) all those who are not working but who have jobs or businesses from which they are temporarily absent because of temporary lay-off, illness, bad weather, vacation, labor management disputes, or personal reasons, whether they are paid for the time off or are seeking other jobs. Members of the Armed Forces stationed in the U.S. are included.

C.8. Unemployed



Applicants who are not employed, or who, although employed, have received notice of termination of employment.

D.9. Eligible Claimant



Individuals who, during the program year, are or have been determined monetarily eligible for benefit payments under one or more State or Federal unemployment compensation programs and whose benefit year or compensation, by reason of an extended duration period, have not ended and who have not exhausted their benefit rights.

Active applicants should be carried over with their current characteristic at the end of the program year. Inactive applicants may have this characteristic 'blanked out' at the end of the program year.

E. Education



A program or course designed to develop competency in basic educational skills such as reading, comprehension, mathematics, writing, speaking and reasoning and/or programs leading to educational credentials such as a GED or high school diploma or college degree.

An applicant counted as "In-school" may also be counted in the highest education achievements in item E.12. or E.13., as appropriate. (Example: A high school graduate attending school full time would be counted in both items E.10. and E.12.)

The highest level of education an applicant has completed.

E.10. In-school



Applicants who are currently attending secondary, vocational, technical, or academic school full time (this may be defined by requirements of agency administering the program) or who are between terms and intend to return to school.

Active applicants should be carried over with their current characteristic at the end of the program year. Inactive applicants should have this characteristic 'blanked out' at the end of the program year. This characteristic should be captured/changed only once per program year.

E.11. <u>Less than High</u> <u>School Diploma</u>

Applicants who have never received a high school diploma, or its equivalent and do not plan to return to school.

E.12. <u>High School</u> <u>Diploma/GED</u>

Applicants who have received a high school diploma or GED and have not achieved a post secondary degree or certificate.



E.13. <u>Post Secondary</u> <u>Degree/ Certificate</u>

Applicants who have received a post secondary vocational, technical, academic degree or certificate of successful completion.



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F.14. Persons with Disabilities



G.15. Dislocated Workers



Individuals with disabilities who have active registration as of July 1, plus all applicants with disabilities who register for services during the program year.

Any applicant who has a physical or mental disability which for such individual constitutes or results in a <u>substantial</u> barrier to employment.

Dislocated workers are individuals who

- (a) have been terminated or laid off or who have received a notice of termination for layoff from employment, are eligible for or have exhausted their entitlement to unemployment compensation, and are unlikely to return to their previous industry or occupation;
- (b) have been terminated, or who have received a notice of termination of employment, as a result of any permanent closure of a plant or facility;
- (c) are long-term unemployed and have limited opportunities for employment or reemployment in the same or a similar occupation in the area in which such individuals reside, including any older individuals who may have substantial barriers to employment by reason of age; or
- (d) were self-employed (including farmers) and are unemployed as a result of general economic conditions in the community in which they reside or because of natural disasters.

Long-term unemployed means unemployed at the time of eligibility determination and unemployed 15 or more of the 26 weeks immediately prior to such determination, and has made specific efforts to find a job throughout the period of unemployment.

H.16. MSFW



Seasonal Farm Workers--Persons who during the preceding 12 months worked at least an aggregate of 25 or more days or parts of days in which some work was performed in farm work, earned at least half of their earned income from farm work, and were not employed in farm work year round by the same employer. For purposes of this definition only, a farm labor contractor is not considered an employer. Non-migrant individuals who are full-time students are excluded.

Migrant Farm Workers-Seasonal farm workers who have to travel to do the farm work so that they were unable to return to their permanent residence within the same day. Full-time student traveling in organized groups, rather than with their families, are excluded.

Migrant Food Processing Workers--Persons who during the preceding 12 months worked at least an aggregate of 25 or more days or parts of days in which some work was performed in food processing, earned at least half of their earned income from processing work and were not employed in food processing year round by the same employer. Migrant food processing workers who are full-time students, but who travel in organized groups rather than with their families, are excluded.

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I.17. Interstate



Interstate applicants are the result of ES activities in the placement process involving joint action of local offices in different states in distributing job order information and referring and placing of qualified applicants.

This includes agricultural placement activity.

This should be reported by the applicant holding state.

J. <u>Veterans</u>



A veteran is an individual who served on active duty for a period of more than 180 days and was discharged or released with other than a dishonorable discharge or was discharged or released from active duty because of a service connected disability; or as a member of a reserve component under an order to active duty pursuant to section 672 (a), (d), or, (g), 673, or 673 (a) of Title 10, U.S.C., served on active duty during a period of war or in a campaign or expedition for which a campaign badge is authorized and was discharged or released from such duty with other than a dishonorable discharge.

VietNam Era



Veterans who served on active duty over 180 days - any part of which was during the period beginning August 5, 1964, and ending May 7, 1975.

Disabled

A disabled veteran is a veteran who is entitled to compensation regardless of rating (including those rated at 0%); or who but for the receipt of military retirement pay would be entitled to compensation, under laws administered by the Department of Veterans Affairs; or was discharged or released from active duty because of a service-connected disability.

A veteran rated at 0% for disability is a service-connected disabled veteran who is entitled to compensation (the law does not require receipt of compensation) whether or not he/she receives monetary benefits or compensation. 0% rated disabled veterans may be reevaluated at a later date to 10% or more.

Special Disabled

A special disabled veteran is a veteran who

- (a) is entitled to compensation (or who, but for the receipt of military retirement pay would be entitled to compensation) under laws administered by the Department of Veterans Affairs (DVA) for a disability,
 - (i) rated at 30 percent or more or,
 - (ii) rated at 10 or 20 percent in the case of a veteran who has been determined by DVA to have a serious employment handicap; or
- (b) a person who was discharged or released from active duty because of a service-connected disability.

"Special Disabled" is also included in the count of "Disabled".

111-4 3/93

Eligible Persons

An eligible person is one who is



(a) the spouse of any person who died on active duty or of a service-connected disability; or

- (b) the spouse of any member of the Armed Forces serving on active duty who at the time of application for assistance under this part, is listed, pursuant to 38 U.S.C. 101 and the regulations issued thereunder, by the Secretary concerned, in one or more of the following categories and has been so listed for more than 90
 - (i) missing in action,
 - (ii) captured in the line of duty by a hostile force, or
 - (iii) forcibly detained or interned in the line of duty by a foreign government or power; or
- (c) the spouse of any person who has a total disability permanent in nature resulting from a service-connected disability or the spouse of a veteran who died while a disability so evaluated was in existence.

<u>Age Breakout</u>

22-44

self-explanatory

45-54

self-explanatory

55 & over

self-explanatory

Total

This is total of all veterans including those under 22 yrs.

(Rows 1 through 43 are applicant counts only; rows 44 through 50 are transaction counts.)

SMOCTA

Recent military separatees that meet the eligibility criteria for certification under Service Members Occupation Conversion and Training Act (P.L.102-484)

1. Total Active **Applicants**

All applicants active as of July 1 plus all applicants who received a New, New Partial, or Renewal during the current program year. Each applicant is counted only once. All applicants active at any time during the program year are counted. This definition is applied to each of the applicant categories



2. **Veterans**

Same as column J. above



3/4. Male/Female





Self-explanatory; usually recorded by applicants themselves. (The male/female applicant groups must be compiled for each sex separately.) The sum of items 3. and 4., in each column must be the same as the entry reported for Item 1, Total Applicants, in that column for the same report quarter of the same program year.

Youth

Under 22 years of age.



6. Adult



22 years old and over.

This item is the sum of 7, 8, and 9.

7. 22 - 44

self-explanatory.

8. 45 - 54

self-explanatory.

9. 55 and over

self-explanatory.

10. <u>Economically</u> <u>Disadvantaged</u> An individual who:



- (a) receives, or is a member of a family which receives cash welfare payments under a Federal, State, or local welfare program; or
- (b) is a member of a family which has received a total family income for the six-month period preceding the month of application for the program involved (exclusive of unemployment compensation, child support payments, and welfare payments) which on an annualized basis in relation to family size, was not in excess of the higher of:
 - (i) the poverty level determined in accordance with criteria established by the Director of the Office of Management and Budget, or
 - (ii) 70 percent of the lower living standard income level; or
- (c) is receiving food stamps pursuant to the Food Stamp Act of 1977; or
- (d) is a foster child on behalf of whom State or local government payments are made; or
- (e) in cases permitted by regulations of the Secretary, is an adult handicapped individual whose own income meets the requirements of clause (a) or (b), but who is a member of a family whose income does not meet such requirements; or
- (f) an individual who qualifies as homeless under section 103 of the Stewart B. McKinney Homeless Assistance Act.

NOTE: No veteran's military salaries earned and/or income derived through service-connected disability compensation, by law (Section 4213, Title 38, U.S.C.), will be included in making eligibility determinations based on income.

Active applicants should be carried over with their current characteristic at the end of the program year. Inactive applicants should have this characteristic 'blanked out' at the end of the program year.

An individual who qualifies under the Stewart B. McKinney Homeless Assistance Act is a homeless person aged 14 and older. Homeless persons are defined as any persons who lack a fixed, regular, and adequate nighttime residence.

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11. Welfare



A welfare recipient is an applicant who, during the course of the program year, receives or is a member of a family who receives cash welfare payments under a Federal, State, or local welfare program.

12. <u>Assessment</u> <u>Services</u>

Individuals who receive an assessment interview, employment counseling, or testing.



13. <u>Interviewed</u>

Applicants who receive an initial analysis of the strengths and weaknesses of their educational level, work history, vocational skills, or identification of employment barriers and development of a plan (not necessarily a written employability plan) to utilize their strengths and reduce weaknesses. Outcome of an interview may include referral to another supportive service for implementation of the plan. This interview collects more information than the initial registration interview or reactivation interview and may take place on the same date as the registration renewal interview so long as it is subsequent to those interviews.

A written Employability Development Plan does not have to be prepared for the plan, however an EDP may be written, if desired.

This is not "Vocational Guidance Service" for a veteran.

This may be done at the application interview.

This must be an individual, not group interview.

"Assessment Interview" and "Counseling" may not be reported for the same service.

This is not the same as "Guidance" as defined by ESARS.

This does not have to be documented.

This interview is subsequent to the initial registration interview or reactivation interview that collects demographic data and work history.

14. <u>Counseled</u>

Applicants who receive ongoing or one-time assistance from a qualified counselor or counselor trainee to aid them in gaining a better understanding of themselves so that they can more realistically choose or change an occupation, or make a suitable job adjustment. Counseling can be provided directly to an individual or through group counseling services and may result in a written employability plan.

"Employability Planning" -- The development of a plan that includes the steps and timetables necessary to achieve a specific occupational goal.

15. Tested



16. <u>Assigned Case</u> <u>Manager</u>



Individuals who are administered a standardized test. Tests will measure the individual's possession of, interest in, or ability to acquire job skills and knowledge.

This means any standardized test. Specific DOL approved tests are not mandated.

(Not to be completed on Form A1)

All veterans for whom a local office staff member, such as Disabled Veterans' Outreach Program (DVOP) specialist or a Local Veterans' Employment Representative (LVER), has been assigned to provide on-going one-on-one personal assistance including, but not limited to, providing advice pertaining to vocational choice, assistance in obtaining training to reach employability, and follow-up services over a period of time required to obtain employment. This includes all veterans for whom a case manager was assigned and carried over to the current program year. (Veterans only.)

Case management is not required for all veterans, but it is reported for those veterans who are selected for case management.

A case manager is assigned when required by law or if it makes good sense. It was Congress' intent that a veteran, in need of training to become job ready, be assigned a case manager to help insure successful completion of Federally-funded training and retention in employment. (To permanently remove from public assistance and added to a revenue-producing labor force participant.)

Case manager may be changed if the applicant moves to another area, but it's not necessary, it's a local office decision.

The case manager is assigned by the appropriate supervisor, i.e., one with level of accountability such as the local manager, or whoever has the authority to make assignments.

The case manager must be a DVOP specialist, LVER, or other ES staff trained to provide services to veterans.

17. <u>Provided Case</u>
<u>Management</u>
Services



(Not to be completed on Form A1)

All veterans included in "Assigned Case Manager" who received counseling, referral to supportive services, job development contacts, referral to jobs, placed in jobs, referral to training, placed in training, vocational guidance service, or any combination of those services coordinated by assigned case manager. (Not a transactions count.) (Veterans only.)

18. <u>Vocational</u>
<u>Guidance Service</u>
Provided



(Not to be completed on Form A1)

All veterans who receive services provided by trained ES staff, which involve providing a wide range of information, materials, suggestions and advice to veterans which are intended to assist in a vocational decision by the veteran regarding employment and training opportunities. (Veterans only.)

This should not be used if the service provided is covered under another service item such as counseling or testing.

"Trained ES staff" includes DVOP specialists and LVERs; as well as any other staff trained to provide such services to veterans.

NOTE: In the following items "referred to" means the act of bringing to the attention of an employer, or local office, a training sponsor, or a supportive service agency, an individual (or group of individuals) who need jobs, training, or related supportive services.

19. Referred to Other Services

Individuals referred to skills training, educational and/or supportive services provided by other service delivery organizations.



20. Referred to Skills
Training



Skills training is defined as any State or Federal training program such as JTPA institutional, Trade Act, Job Corps, etc. This is an unduplicated count of <u>all</u> applicants referred to training, both successful and unsuccessful.

Entrepreneurial training may be "Skills Training" provided it is State or Federally approved.

Private, local government, or non-profit programs may be included if the training program is State or Federally funded.

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"Referred to Federal Training", "Referred to JTPA", and "Referred to Other Training" are sub-categories of "Referred to Skills Training", but they do not have to add up to the total.

21. <u>Referred to Federal</u> Training



This is a subgroup of "Referred to Skills Training" for veterans who are referred to any job training program supported by the Federal Government, such as JTPA funded projects, TAA, JOBS, and Job Corps. This does not include referrals to DVA-OJT.

22. Referred to JTPA



Individuals referred to a service delivery component funded with monies from the Job Training Partnership Act.

23. Referred to Other Training



Individuals referred to any employment and/or training service funded with Federal or State dollars other than from JTPA.

24. Referred to Educational Services



Individuals referred to a program or course designed to develop competency in basic educational skills such as reading, comprehension, mathematics, writing, speaking and reasoning and/or programs leading to educational credentials such as a GED or high school diploma or college degree.

This can include 'English as a second language' training.

25. Referred to Support Services



Individuals referred to services designed to assist an individual to achieve physical, mental, social or economic well being and reduce or eliminate barriers to employment. These include health and medical services, child care, emergency financial services, relocation assistance, residential support, nutritional and legal services.

26. <u>Training</u> <u>Placements</u>



Applicants verified to have entered in any State or Federal training program (JTPA Institutional, Job Corps, etc.) to which they were referred by the ES agency. Verification may be by contact (telephone or visit) with the training facility or written notification from the applicant.

27. <u>Federal Training</u>
Placements



All veterans verified to have entered any job training program supported by the Federal government such as JTPA institutional, Job Corps, etc. This does not include placements in DVA-OJT..

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28. Job Search Activities



All applicants provided services which are designed to help the jobseeker plan and carry out a successful job hunting strategy. The services include resume preparation assistance, job search workshops, job finding clubs, provision of specific labor market information and development of a job search plan.

"Resume Assistance" -- Providing instructions on the content and format of resumes and cover letters and providing assistance in the development and production of the same.

"Job Search Workshops" -- An organized activity that provides instructions on resume writing, application preparation, interviewing skills, and/or job lead development.

"Job Finding Clubs" have all the elements of the ES Job Search Workshop, plus a period of structured application where participants attempt to obtain jobs.

"Provision of Specific Labor Market Information" -- Information concerning occupational staffing patterns, hiring patterns, working conditions, and pay of firms or industries.

"Job Search Planning" - Development of a plan (not necessarily a written plan) that includes the necessary steps and timetables to achieve employment in specific occupational, industry, or geographic area.

29. Referred to **Employment**

A referral to employment is

- (a) the act of bringing to the attention of an employer an applicant or group of applicants who are available for a job and
- (b) the record of such a referral. It means the same as "referral to a job."

All veterans who are referred to a job opening filed with a placement office by a department or agency of the Federal Government or other entity under the jurisdiction of the U. S. Office of Personnel Management.

30. Referred to a Federal Job



31. Referred to FCJL Job



Referred to a Permanent Job (+150 days)

All veterans referred to a job opening listed by an employer identified as a Federal contractor.

NOTE: This item is not required for "Disabled Veterans."

All applicants in each category who meet the definition for Referred to Employment who are referred to a job expected to last over 150 days.



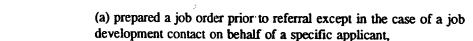
32.

33. Entered Employment

This is the unduplicated count of applicants who entered employment by job placement or obtained employments.



The hiring of an applicant by a public or private employer after referral to a job by the ES or by other co-located or outstationed staff in cooperation with the ES agency provided that all of the following steps were completed:



- (b) made prior referral arrangements with the employer,
- (c) referred an individual who was not designated by the employer except for referrals to agricultural job orders for a specific crew leader or worker;
- (d) verified from a reliable source, preferably the employer, that the applicant had entered work, and
- (e) recorded the placement in the agency data base.

35.-38. Placements by Age

Self-explanatory.

This item also includes placements in OJT, work experience and PSE.

39. <u>Placed in Federal</u>
Jobs

All veterans placed in a job opening filed with a placement office by a department or agency or other entity under the jurisdiction of the U.S. Office of Personnel Management.



40. <u>Placed in a FCJL</u> Job

All veterans placed in FCIL Job. Use the same definition as "34. Job Placements" in jobs listed by Federal contractors.

NOTE: This Item is not required for "Disabled Veterans."



41. Placed in Permanent .lob (+150 days)

All applicants placed in a job expected to be over 150 days duration.



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		2005 DATA LUELAHATION HANDBOC	
42.	Obtained Employment	Individuals who secure employment within 90 calendar or more of the following services that was wholly or pa. Employment Service:	ing one
		(a) participation in Job Search activities,	e ve
		(b) accepting a position resulting from the use of a Job S automated labor exchange,	ored
		(c) after receiving employment counseling or testing or deemployability plan,	∋f an
		(d) after receiving bonding assistance,	
		(e) after termination from a skills training program to who was referred by the ES agency. (The ES applicant subsection have found own job or been placed in an unsubsidized job program),	plicant r may ning
		(f) or before expiration of a tax credit voucher,	
		and verification has been received from a reliable source, employer, that the applicant has obtained employment and does not meet the definition of a job placement.	he ∋yment
13.	Received Some Reportable Service	All applicants that have received some reportable service program year. Services include:	urrent
Tood	J	(a) referral to job,	
		(b) job placement,	
		(c) placement in training,	
		(d) obtaining employment,	
		(e) assessment services, including an assessment interview and employability planning.	unseling
		(f) case management services,	
		(g) vocational guidance services	
		(h) job search activities, including resume assistance, job job finding clubs, specific labor market information and job	shops, anning,
		(i) federal bonding program,	
		(j) job development contacts,	

(k) Tax credit eligibility determination.

(l) referral to other services, including skills training, educational services, and supportive services.

(m) any other service requiring expenditure of staff time although not required to be reported.

Application taking and/or registration are not included as reportable services in this item.

TRANSACTIONS

44.	Assessment Service Total	The total cumulative number of times that individuals are assessed (meeting the definition for "assessment") from the beginning of the program year (July 1.)
45.	Interviewed	The total cumulative number of times that individuals are interviewed from the beginning of the program year (July 1.)
46.	Counseled	The total cumulative number of times that individuals are counseled from the beginning of the program year (July 1.)
47.	<u>Tested</u>	The total cumulative number of times that individuals are tested from the beginning of the program year (July 1.)
48.	Referred to Employment	The total cumulative number of times that individuals are referred to an employer job opening listed with the State Agency.
49.	Placed	The total cumulative number of placements of individuals into job openings from the beginning of the program year (July 1.) Include multiple placements of the same individual, provided that the job placements meet the conditions prescribed in the definition of an ES placement, including Interstate. (Also, include placements in OJT, work experience and PSE.)
50.	Obtained Employment	The total cumulative number of times individuals obtained employment from the beginning of the program year (July 1.) Use the same criteria as found in item 42.

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Entries for Form B

JOB OPENINGS RECEIVED AND FILLED BY OCCUPATIONAL CATEGORY AND STANDARD INDUSTRIAL CLASSIFICATION (SIC)

Include openings still in open status.

1-15.A-L Job Openings Received by Occupational Category and Standard Industrial Classification (SIC)

Enter for each occupational category the cumulative number of job openings received for totals and each SIC division from the beginning of the program year (July 1.)

16-30.A-L Job Openings Filled by Occupational Category and Standard Industrial Classification (SIC)

Enter for each Occupational category the cumulative number of job openings filled from the beginning of the program year (July 1.)

31.A FCJL Openings Received

Enter in column A the total cumulative number of job openings received from employers identified as Federal contractors from the beginning of the program year (July 1.)

32.A Number of Federal Contractors

Enter in Column A the total cumulative number of Federal contractors from which one or more job openings have been received. This entry is a cumulative, unduplicated count from the beginning of the program year (July 1) through the end of the reporting period.

NOTE: A federal contractor is any party entering into an agreement or modification thereof in the amount of \$10,000.00 or more for the procurement of supplies or personal property and non-personal services (including construction) with any department or agency of the United States [38 USC 2012 (a) and 41 CFR 60-250.2]

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Entries for Form C

AVERAGE WAGE ON ORDERS AND PLACEMENTS FOR JOB ORDERS RECEIVED AND JOB OPENINGS RECEIVED AND FILLED BY OCCUPATIONAL CATEGORY AND AGRICULTURAL STATUS

A. Job Orders Received

1-15.A Job Orders Received

Enter by occupational category the cumulative number of job orders received from the beginning of the program year (July 1.)

B. - G. Openings Received

1-15.B Total Non-Agricultural and Agricultural

Enter by occupational category the cumulative number of Non-Agricultural and Agricultural job openings received from the beginning of the program year (July 1.)

1-15.C Non-Agricultural Temporary (150 days or less)

Enter by occupational category the cumulative number of Non-Agricultural Temporary job openings received from the beginning of the program year (July 1.)

1-15.D Non-Agricultural Permanent (over 150 days)

Enter by occupational category the cumulative Non-Agricultural Permanent job openings received from the beginning of the program year (July 1.)

1-15.E Average Wage on Job Orders

Enter by occupational category the average wage on job orders received, for which the wage is paid on a time basis, from the beginning of the program year (July 1.)

1-15.F Agricultural Temporary (150 days or less)

Enter by column the cumulative number of Agricultural Temporary job openings received from the beginning of the program year (July 1.)

1-15.G Agricultural Permanent (over 150 days)

Enter by occupational category the cumulative number of Agricultural Permanent job openings received from the beginning of the program year (July 1.)

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H. - M. Job Openings Filled

1-15.H Total Non-Agricultural and Agricultural

Enter by occupational category the total cumulative number of Non-Agricultural and Agricultural job openings filled from the beginning of the program year (July 1.)

1-15.I Non-Agricultural Temporary

Enter by occupational category the cumulative number of Non-Agricultural Temporary job openings filled from the beginning of the year (July 1.)

1-15.J Non-Agricultural Permanent

Enter by column the cumulative number of Non-Agricultural Permanent job openings filled from the beginning of the program year (July 1.)

1-15.K Average Wage on Placement

Enter by occupational category the average wage, for which wages are paid on a time basis, that the worker will earn after beginning work, or completion of a training or probationary period. If the wage at placement is not available, then a default rate for the occupational grouping can be used. Each State would need to provide a prevailing wage rate for each occupational grouping that can be used in the absence of hard wage data. If no actual wage or default is available, it will revert to the original wage on the job order.

The average wage on placement is the total of the wages, computed to an hourly basis, of all placements in the occupational category divided by the total number of placements in the occupational category.

1-15.L Agricultural Temporary

Enter by occupational category the cumulative number of Agricultural Temporary job openings filled from the beginning of the program year (July 1.)

1-15.M Agricultural Permanent

Enter by column the cumulative number of Agricultural Permanent job openings filled from the beginning of the program year (July 1.)

Standard industrial Classification (SIC) JOD OPERINGS RECEIVED AND FINED by Occupational Category and

U.S. Department of Labor

Employment Service Programs

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tion is estimated to average 14 hrs. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to the U.S. Employment Service, Room N-4470, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0240).

Rev. June 1996 ETA-9002B

for Job Orders Received and Job Openings TY OF AND AND OF OF AND PIACETHETIS Received and Filled by Occupational Category and Agricultural Status

State

U.S. Department of Labor Employment Service Programs

Program Year

Quarter

OMB No.: 1205-0240 Expires: 08/31/99

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Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number. Respondents obligation to reply to these requirements are mandatory as required by 20 CFR 652.3. Public reporting burden for this collection of information is estimated to average 14 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to the U.S. Department of Labor, U.S. Employment Service, Room No. N-4470, Washington, D.C. 20210 (Paperwork

Quarter

OMB No. 1205-0240

Employment Service Programs

Program Year

Expires: 08/31/99 Α С D TOTAL Cumulative year-to-date **EMPLOYMENT ELIGIBLE APPLICANTS** RACE **STATUS CLAIMANT** Am In/ Asian White Black Hisp. Al Nat Pac Isl **Employed** Unempl 1 3 4 5 6 8 9 **Total Active Applicants** 2 Veterans 3 Male 4 Female 5 Youth Adult (22 and over) 6 7 22 - 44 8 45 - 54 9 55 and over 10 Econ Disady Total 11 Welfare Assessment Services 12 13 Interviewed 14 Counseled 15 Tested 16 Assigned Case Mgr. 17 Prov. Case Mgt. Ser. Voc Guide Ser Provided 18 19 Referred to Other Serv 20 Referred to Skills Tr 21 Referred to Fed. Tr 22 Referred to JTPA 23 Referred to Other Training 24 Referred to Educ Serv Referred to Support Serv 25 26 Training Placements 27 Fed. Tr. Placements Job Search Activities 28 Referred to Employment 29 30 Referred to Fed. Job Referred to FCJL Job 31 Peferred to Perm Job (+150 days) 32 **Entered Employment** 33 Placed Total 34 Placed (under 22) 35 Placed (22-44) 36 Placed (45-54) 37 Placed (55 & over) 38 Placed in Fed Job 39 Placed in FCJL Job 40 41 Placed in Perm Job (+150 days) 42 Obtained Employment Rec Some Report Serv 43 Transactions Assessment Serv Total 14 15 Interviewed 16 Counseled Tested 17 Referred to Employment 18 Placed 19 Obtained Employment 30

Persons are not required to respond to this collection of information unless it displays a currently valid OMB control number. Respondents obligation to reply to these requirements are mandatory as required by 20 CFR 652.3. Public reporting burden for this collection of information is estimated to average 14 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate, or any other aspect of this collection of information, including suggestions for reducing this burden, to the U.S. Department of Labor, U.S. Employment Service, Room N-4470, Washington, D.C. 20210 (Paperwork Reduction Project 1205-0240).

State

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